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Maternal Residential Atrazine Exposure and Risk for Choanal Atresia and Stenosis in Offspring

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Abstract

Objective—To assess the relationship between estimated residential maternal exposure to atrazine during pregnancy and risk for choanal atresia or stenosis in offspring.

Study Design—Data for 280 nonsyndromic cases and randomly selected, population-based controls delivered during 1999 to 2008 were obtained from the Texas Birth Defects Registry. County-level estimates of atrazine levels obtained from the United States Geological Survey were assigned to cases and controls based on maternal county of residence at delivery. Unconditional logistic regression was used to assess the relationship between maternal residential atrazine exposure and risk for choanal atresia or stenosis in offspring.

Results—Compared to offspring of mothers with low levels of estimated residential atrazine exposure, those with high levels had nearly a two-fold increase in risk for choanal atresia or stenosis (adjusted odds ratio: 1.79, 95% confidence interval: 1.17–2.74). A significant linear trend was also observed with increasing levels of atrazine exposure (adjusted $P = 0.002$).

Conclusions—A link between maternal exposure to endocrine disruptors, such as atrazine, and choanal atresia risk is plausible based on previous findings. Our results further support this hypothesis.

Keywords

Texas; epidemiology; maternal exposure; congenital malformations

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INTRODUCTION

Choanal atresia and stenosis are characterized by a complete blockage or narrowing, respectively, of the opening between the posterior nasal cavity and the nasopharynx on the left side, right side, or both.¹ Choanal atresia and stenosis are the most common craniofacial abnormalities of the nose and often require multiple corrective surgeries or can lead to life-threatening airway obstruction.^{2, 3} In spite of its clinical significance, the etiology of choanal atresia or stenosis in the absence of a chromosome abnormality or malformation syndrome or sequence is not well understood in humans.⁴

Although there has been limited research evaluating the etiology of nonsyndromic choanal atresia or stenosis, a few risk factors involved in endocrine function are suspected. For example, multiple studies have demonstrated that *in utero* exposure to hyperthyroid medications (i.e., methimazole and carbimazole, a carboxy derivative of methimazole) may increase risk for choanal atresia.^{5–11} The exact mechanism of this suspected teratogenic effect is unclear and a teratogenic role of the underlying hyperthyroidism has not been ruled out. Other mechanisms suspected to increase choanal atresia risk include suppression of retinoic acid and activation of fibroblast growth factor signaling pathways, both of which regulate endocrine function.^{12, 13}

Atrazine, the most widely used herbicide in the U.S., has been suggested to have teratogenic effects for other birth defects and is considered to be a potent endocrine disruptor (i.e., a chemical that interferes with the normal function of the endocrine system).^{14–18} However, to our knowledge, atrazine exposure has not been evaluated with regards to choanal atresia or stenosis specifically. Given the plausibility of a possible teratogenic effect of atrazine via endocrine disruption, we evaluated the relationship between residential maternal exposure to atrazine during pregnancy and risk for choanal atresia or stenosis in offspring in Texas during 1999 to 2008.

METHODS

STUDY SUBJECTS

Analyses were conducted using data from the Texas Birth Defects Registry, which is an ongoing, population-based registry maintained by the Texas Department of State Health Services Birth Defects Epidemiology and Surveillance Branch (BDESB). The registry uses state-wide active case surveillance at hospitals, birthing centers, and midwife facilities to identify cases with birth defects, including live births, still births, and induced pregnancy terminations. Potential cases are included in the registry when a structural birth defect or chromosome abnormality is present and the mother resided in Texas at the time of delivery. Medical records data for each case are abstracted and case diagnoses are reviewed and classified using a six-digit code defined by the Centers for Disease Control and Prevention, which is based on the British Pediatric Association Classification of Diseases and the International Classification of Diseases, 9th Revision, Clinical Modification system (BPA code).¹⁹ Registry data is also linked to reproductive and sociodemographic data (e.g., maternal address at delivery) from birth and fetal death certificates obtained from the Vital Statistics Unit of the Texas Department of State Health Services. For the present analyses,

corresponding vital records data were also obtained for potential controls, sampled from all live births in Texas during the study period. These data included infant sex, delivery date, and maternal race/ethnicity, birthplace, age, education, smoking (yes versus no), and history of live births. The protocol for this study was approved by the Institutional Review Board for the University of Texas Health Science Center at Houston.

Data for cases with documented postnatal diagnoses of choanal atresia or stenosis (BPA code 748.000) delivered between January 1, 1999 and December 31, 2008 were included in these analyses. Cases were not included when there was a choanal atresia or stenosis BPA code but written comments indicating that the case did not have choanal atresia or stenosis (N=64). To reduce the potential for etiologic heterogeneity among cases, all analyses were restricted to nonsyndromic cases (i.e., those without possible diagnoses of chromosome abnormalities or malformation syndromes or sequences). Further, analyses were repeated among the subset of isolated cases (i.e., nonsyndromic cases without additional major malformations). We also selected a random sample of controls without major malformations delivered during the study period, using a ratio of ten controls to one case.

EXPOSURE ASSESSMENT

Annual estimates of atrazine levels were obtained for all counties in Texas from the United States Geological Survey (USGS) for the period 1999–2007.²⁰ Atrazine estimates from the USGS model are based on agricultural crops (crop acreage and reported crops) that were likely to have applications of atrazine. The method for developing these estimates has been described elsewhere.²⁰ Briefly, the USGS method uses AgroTrak survey data on pesticide use and Census of Agriculture and National Agriculture Statistics Service data on harvested crop acreages for all counties in the contiguous United States. To ensure that atrazine use was accounted for in all geographic areas, atrazine crop application rates for Crop Reporting Districts (CRDs) not surveyed were derived from adjacent CRDs that had been surveyed. CRDs consist of multiple adjacent counties, which were grouped to represent similar geography, climate, and cropping practices. For this assessment, data on the counties in Texas were linked to maternal county of residence at delivery and year of delivery for cases and controls. Because data for 2008 were not available, 2007 atrazine data were used for 2008 deliveries. For main analyses, atrazine exposure was categorized as low, medium, medium-high, or high, defined by the distribution of atrazine levels in controls (i.e., based on cutoffs below the 25th percentile, above the 25th and less than the 75th percentile, above the 75th and less than the 90th percentile, and above the 90th percentile, respectively, as used by Reynolds et al.²¹).

STATISTICAL ANALYSIS

The distribution of infant and maternal sociodemographic and reproductive characteristics among cases and controls were tabulated using counts and proportions and were compared using a chi-square test. The distribution of overall atrazine levels in Texas was also described by year. Further, for each county, mean atrazine levels across 1999–2007 were plotted.

Frequencies of cases and controls were determined for each atrazine category (i.e., those based on the 25th, 75th, and 90th percentile cutoffs in controls). In the main analyses, unconditional logistic regression was used to assess the relationship between categories of atrazine exposure and choanal atresia or stenosis. Using the category of low atrazine exposure as a referent, crude odds ratios (ORs) were estimated for each atrazine exposure level category. We also estimated ORs adjusted for the following potential confounders (based on previous literature): season of conception, infant sex, birth year, and maternal race/ethnicity, education, age, and smoking. Furthermore, adjusted *p*-values were estimated to assess the potential for a linear trend across increasing categories of atrazine exposure. We also assessed a linear trend using a continuous measurement of atrazine levels, based on 10,000 pound increments. To further limit potential heterogeneity, main analyses were repeated among isolated cases. Analyses were conducted using SAS (version 9.2 copyright 2002–2008, SAS, Inc., Cary, NC) and STATA version 12 (StatCorp LP, College Station, TX).

RESULTS

From 1999 to 2008, there were 372 cases in Texas with postnatal diagnoses of choanal atresia or stenosis. Controls were randomly selected among all live births in Texas during the study period at a ten to one control to case ratio (N=3720 controls). There were 28 cases that had a chromosome abnormality and 64 cases that had a malformation syndrome or sequence. The main analyses focused on the remaining 280 nonsyndromic cases. The proportion of subjects missing maternal county of residence at delivery, and therefore missing atrazine exposure level, was similar between cases and controls (0.4% and 0.5%, respectively).

There were no significant differences in the distribution of characteristics (i.e., infant sex, delivery year, or maternal race/ethnicity, birthplace, age at delivery, education, history of previous live births, smoking, or season of conception) between cases and controls (Table I). Further characteristics of a subset of these cases have been previously described in a descriptive epidemiology study.⁴ The overall distribution of atrazine levels in Texas between 1999 and 2007 are presented (Table II). The annual mean levels of atrazine application in Texas ranged from a high of 19.5 pounds per square mile in 2000 to a low of 9.2 pounds per square mile in 2006. There was no obvious trend in estimated levels over time.

Atrazine exposure was categorized based on the cutoffs in controls at the 25th, 75th, and 90th percentiles: 0–<1.40 pounds/square mile (low), 1.40–<15.03 pounds/square mile (medium low), 15.03–<47.63 pounds/square mile (medium), and ≥47.63 pounds/square mile (high). A map plotting atrazine exposure categories for each Texas county, based on mean atrazine application over 1997–2007, is presented in Figure I. Based on these estimates; levels of atrazine appeared to be both high and variable throughout the state.

Offspring of mothers with high levels of estimated residential atrazine exposure had a significantly increased risk of choanal atresia or stenosis compared to those with low exposure levels (OR: 1.65, 95% CI: 1.10–2.48) (Table III). A similar association was

observed after adjustment for season of conception, infant sex, birth year, and maternal race/ethnicity, education, age, and smoking (adjusted OR: 1.79, 95% CI: 1.17–2.74). The unadjusted and adjusted magnitudes of association across the categories of exposure were consistent with a dose-response over increasing levels of atrazine exposure. A significant linear trend was also observed with increasing category of atrazine exposure (adjusted $P=0.002$). Main analyses were also repeated using a continuous measure of atrazine exposure (i.e., based on 100 pound per square mile increments). A significant linear increase was observed (OR: 1.40, 95% CI: 1.04–1.89; adjusted OR: 1.49, 95% CI: 1.09–2.04).

To further limit heterogeneity, analyses were repeated among isolated cases ($N=147$). The results from these analyses were similar to the main results (data not shown).

DISCUSSION

We found a significant association between estimated maternal residential exposure to atrazine and risk for nonsyndromic or isolated choanal atresia or stenosis in offspring. Specifically, the prevalence of choanal atresia or stenosis was highest among offspring of women who lived in counties with the highest estimated levels of atrazine use. Our results suggest a monotonic dose-response relationship, whereby living in counties with increasing atrazine application is associated with increasing risk of having a child with choanal atresia or stenosis.

As there are no large-scale population-based measurements of personal atrazine exposure, our exposure assessment strategy was based on county-level estimates of atrazine application provided by the USGS, and therefore, our results should be interpreted cautiously. However, living in areas with high levels of atrazine application (e.g., proximity to agricultural fields) appears to correlate with personal exposure.^{14, 15} For instance, families living in farm households have higher levels of urine atrazine metabolites compared to families living in non-farm households.²²

Based on research in animal models, the primary target of atrazine toxicity is the female reproductive system; therefore, there is growing interest in the association between atrazine exposure in humans and birth defects.¹⁵ Although the relationship between maternal atrazine exposure and risk for choanal atresia or stenosis has not been previously evaluated, our findings are consistent with previous reports of associations between atrazine exposure and adverse pregnancy outcomes and other birth defects, such as gastroschisis, spina bifida, cleft lip, congenital heart defects, limb reduction defects and urogenital defects.^{15–18}

Additionally, as atrazine is a suspected endocrine disruptor in humans (including disruption of thyroid hormone), and there is evidence that choanal atresia risk is associated with *in utero* exposure to hyperthyroid medications, it is plausible that choanal atresia may be an atrazine-susceptible phenotype.^{5–11} While the pathways involved in atrazine-induced teratogenesis have not been elucidated (particularly for choanal atresia), there are three potential mechanisms that warrant further exploration. First, it is possible that atrazine may interfere with maternal thyroid hormone levels during pregnancy, thereby increasing the risk of choanal atresia. Second, atrazine exposure (and exposure to other endocrine disruptors)

may actually lead to maternal hyperthyroidism prior to pregnancy, which may lead to this effect. Third, previous studies have shown that risk for choanal atresia or stenosis increases in mice with suppression of retinoic acid synthesis (i.e., vitamin A deficiency) or activation of fibroblast growth factor signaling pathways.^{12, 13} Although the relationships between the thyroid hormone, retinoic acid, and fibroblast growth factor signaling pathways during development are not fully understood, it has been suggested that retinoic acid regulates thyroid function and that thyroid hormones regulate fibroblast growth factor receptor signaling.^{23, 24} While it is unclear if atrazine might be involved in retinoic acid synthesis or fibroblast growth factor signaling, it is possible that precursors or derivatives of atrazine might be involved.

This study must be considered in the light of certain limitations. Given that the critical period for exposure for choanal atresia is early in pregnancy,²⁵ residential atrazine exposure based on maternal residence at delivery may not have accurately represented residential atrazine exposure during the critical period for all subjects. However, we have previously shown that residential mobility from one county to another between conception and delivery is infrequent in Texas (i.e., 6%) and is expected to occur at similar proportions between cases and controls.²⁶ As stated, we used a county-based estimate of atrazine exposure in the present study, which may not adequately account for variability of exposure within counties or within years; however, there are no large-scale population-based measures of personal exposure, which could be used in order to evaluate this relatively rare phenotype. Thus, the present study is an important first step in determining what associations may be present. Although we adjusted for several potential confounders, we cannot rule out the possibility of confounding by unmeasured variables. Future research is needed to confirm our findings, using other exposure assessment methodologies, including biomarkers of exposure. We were also limited in our case sample size, due to the rarity of choanal atresia or stenosis.

In spite of these limitations, the present study had several strengths, including use of a sample that utilized active surveillance to identify cases. The sample also included cases that were still births and elective pregnancy terminations, which reduced the potential for selection bias. Although choanal atresia or stenosis is a rare condition, the Texas Birth Defects Registry represents one of largest population-based birth defects registries in the world, and our sample contained more cases with nonsyndromic choanal atresia or stenosis than most previous studies. Additionally, to reduce heterogeneity, we restricted our case definition to nonsyndromic cases and also considered isolated cases separately.

In summary, we report the first identified association between maternal atrazine exposure and risk for choanal atresia or stenosis. Moreover, our findings, in conjunction with previous studies, suggest an important role of maternal endocrine disruption in risk for choanal atresia or stenosis. If confirmed, future research evaluating the many other factors that influence endocrine function may shed further light on the etiology of choanal atresia or stenosis.

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Abbreviations and acronyms

OR	odds ratio
CI	confidence interval
BDESB	Birth Defects Epidemiology and Surveillance Branch
BPA code	birth defect code based on the British Pediatric Association Classification of Diseases and the International Classification of Diseases, 9th Revision, Clinical Modification system
USGS	United States Geological Survey

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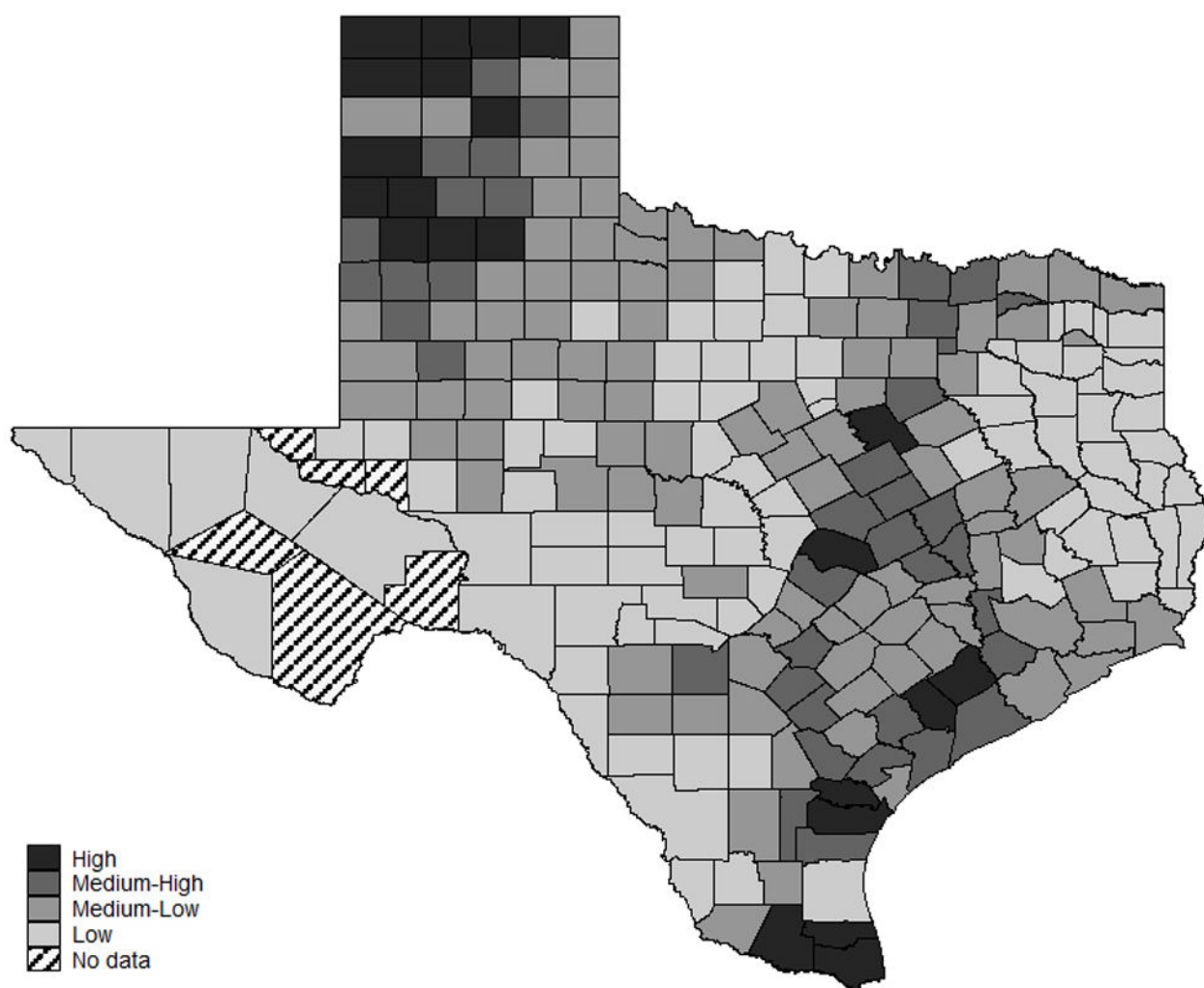


Figure I.
Distribution of mean atrazine levels in Texas by county over 1999–2007

Table I

Characteristics of controls and nonsyndromic cases with choanal atresia in Texas, 1999–2008

Characteristic	Cases (N=280)	Controls (N=3720)	P
Infant Sex			
Female	138 (49.5%)	1813 (48.7%)	0.68
Male	141 (50.5%)	1907 (51.3%)	
Delivery year			
1999	28 (10.0%)	363 (9.8 %)	0.65
2000	29 (10.4%)	364 (9.8 %)	
2001	32 (11.4%)	353 (9.5%)	
2002	25 (8.9%)	387 (10.4%)	
2003	25 (8.9%)	354 (9.5%)	
2004	23 (8.2%)	406 (10.9%)	
2005	29 (10.4%)	365 (9.8%)	
2006	36 (12.9%)	364 (9.8%)	
2007	22 (7.9%)	368 (9.9%)	
2008	31 (11.1%)	396 (10.7%)	
Maternal race/ethnicity			
Non-Hispanic white	110 (39.4%)	1310 (35.2%)	0.41
Non-Hispanic black	30 (10.8%)	447 (12.0%)	
Hispanic	133 (47.7%)	1831 (49.3%)	
Other	6 (2.2%)	130 (3.5%)	
Maternal birthplace			
United States	207 (73.9%)	2638 (70.9%)	0.21
Outside United States	73 (26.1%)	1082 (29.1%)	
Maternal age			
<20	33 (11.8%)	562 (15.1%)	0.48
20–24	77 (27.5%)	989 (26.6%)	
25–29	79 (28.2%)	1034 (27.8%)	
30–34	56 (20.0%)	755 (20.3%)	
35–39	28 (10.0%)	320 (8.6%)	
40	7 (2.5%)	60 (1.6%)	
Maternal education			
< High school	92 (33.5%)	1167 (31.8%)	0.90
High school	79 (28.7%)	1069 (29.1%)	
> High school	104 (37.8%)	1439 (37.2%)	
Previous live births			
No	102 (37.5%)	1388 (38.4%)	0.98
Yes	170 (62.5%)	2231 (61.7%)	
Maternal smoking			
No	259 (92.8%)	3499 (94.4%)	0.25
Yes	20 (7.2%)	209 (5.6%)	

Characteristic	Cases (N=280)	Controls (N=3720)	P
Season of conception			
Spring	73 (26.2%)	920 (24.8%)	0.26
Summer	74 (26.5%)	858 (23.1%)	
Fall	72 (25.8%)	939 (25.3%)	
Winter	60 (21.5%)	992 (26.8%)	

Table II

Distribution of atrazine levels (pounds per square mile) in Texas by year, 1999–2007

Year	Mean	Median	Minimum	Maximum
1999	14.71	3.83	0.00009	194.6
2000	19.53	4.83	0.00009	223.8
2001	15.18	3.04	0.0002	211.3
2002	14.80	2.16	0.0002	235.5
2003	15.73	3.58	0.001	161.1
2004	13.82	2.28	0.0004	177.6
2005	11.89	2.47	0.0001	211.4
2006	9.24	2.27	0.0004	115.9
2007	15.68	3.03	0.0006	352.5

Table III

Association between atrazine and choanal atresia in Texas, 1999–2008

Atrazine levels ^a	Pounds/square mile	Cases ^b (%) (N=289)	Controls (%) (N=3720)	OR	95% CI	aOR ^c	95% CI
Low (reference)	0–<1.40	64 (22.9)	922 (24.9)	1.00		1.00	
Medium-low	1.40–<15.03	120 (43.0)	1856 (50.1)	0.93	0.68–1.27	0.93	0.68–1.29
Medium	15.03–<47.63	53 (19.0)	557 (15.1)	1.37	0.94–2.00	1.35	0.90–2.01
High	47.63	42 (15.1)	367 (9.9)	1.65	1.10–2.48	1.79	1.17–2.74
						<i>p^d</i>	0.002

^a Atrazine categories based on 25th, 75th, and 90th percentiles in controls^b Nonsyndromic cases^c Odds ratio adjusted for season of conception, infant sex, birth year, and maternal race/ethnicity, education, age, and smoking^d *P*-value for linear trend across the four increasing categories of atrazine exposure